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| APPLICATION NO. FILING DATE |               | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO.     | CONFIRMATION NO.          |  |  |
|-----------------------------|---------------|-------------------------|-------------------------|---------------------------|--|--|
| 10/619,234 07/14/2003       |               | Thomas Schmidt          | DKT02038(0267.00059)    | DKT02038(0267.00059) 5826 |  |  |
| 7590 08/10/2004             |               | EXAMINER                |                         |                           |  |  |
| BorgWarner, Inc.            |               |                         | LORENCE, R              | LORENCE, RICHARD M        |  |  |
| Powertrain Tec              | hnical Center |                         |                         |                           |  |  |
| Suite 100                   |               | ART UNIT                | PAPER NUMBER            |                           |  |  |
| 3800 Automatic              | on Avenue     | 3681                    |                         |                           |  |  |
| Auburn Hills,               | MI 48326      | DATE MAILED: 08/10/2004 | DATE MAILED: 08/10/2004 |                           |  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   |  | Amplication   | m Nin  | Applicant(a)                              |        |  |  |  |
|---|--|---|--|---|--------|--|--|--|
| Office Action Summary   |  | Application   |  |   |        |  |  |  |
|   |  | 10/619,23   | 4  | SCHMIDT ET AL.                            |        |  |  |  |
|   |  | Examiner  |  | Art Unit                                  | 1 1/1  |  |  |  |
|   |  | Richard M   |  | 3681                                      | (My)   |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |  |   |  |   |        |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |   |  |   |        |  |  |  |
| Status  |  |   |  |   |        |  |  |  |
| 1)⊠   | Responsive to communication(s) filed   | on <u>14 July 2003</u> .  |  |   |        |  |  |  |
| 2a)□  | This action is <b>FINAL</b> . 2b) This action is non-final.  |   |  |   |        |  |  |  |
| 3)□   |  |   |  |   |        |  |  |  |
|   | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |  |   |        |  |  |  |
| Disposition of Claims   |  |   |  |   |        |  |  |  |
| 5)□<br>6)⊠<br>7)⊠   | <ul> <li>4)  Claim(s) 1-14 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1,3-6 and 10-13 is/are rejected.</li> <li>7)  Claim(s) 2,4,7-9 and 14 is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul> |   |  |   |        |  |  |  |
| Applicat  | ion Papers   |   |  |   |        |  |  |  |
| 10)🖾  | The specification is objected to by the The drawing(s) filed on <u>14 July 2003</u> is Applicant may not request that any object Replacement drawing sheet(s) including the oath or declaration is objected to   | s/are: a)⊠ accepte<br>ion to the drawing(s) b<br>he correction is require | e held in abeyance. Se<br>ed if the drawing(s) is ob | e 37 CFR 1.85(a).<br>ojected to. See 37 C |        |  |  |  |
| Priority under 35 U.S.C. § 119  |  |   |  |   |        |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) □ All b) □ Some * c) ⊠ None of:  1. ☑ Certified copies of the priority documents have been received.  2. □ Certified copies of the priority documents have been received in Application No  3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.   |  |   |  |   |        |  |  |  |
| Attachmen   | nt(s)  |   |  |   |        |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (P  |  |   |  |   |        |  |  |  |
| 3) Infor  | ce of Draftsperson's Patent Drawing Review (PT<br>mation Disclosure Statement(s) (PTO-1449 or P<br>er No(s)/Mail Date  |   | Paper No(s)/Mail D 5) Notice of Informal B 6) Other: |   | O-152) |  |  |  |

#### **DETAILED ACTION**

This is the first Office action on the merits of Application No. 10/619,234 filed on July 14, 2003. Claims 1-14 are currently pending.

# **Priority**

Acknowledgment is made of applicant's claim for foreign priority based on an application filed in the European Patent Office on July 16, 2002. It is noted, however, that applicant has not filed a certified copy of the European application as required by 35 U.S.C. 119(b).

# Claim Objections

Claims 11 and 12 are objected to because of the following informalities: It appears that claims 11 and 12 directed to the tapered flanks should depend from claim 10 rather than claim 1. Appropriate correction is required.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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Claim 3 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 3 recites the limitation "the outer surface area" in line1. There is insufficient antecedent basis for this limitation in the claim.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States

Claims 1, 4-6 and 10-13 are rejected under 35 U.S.C. 102(b) as being anticipated by Gaggermeier '708 which discloses a driver unit for a multi-disk clutch including the disk 1 with external teeth 7 and the hub with casing 3 into which the disk is inserted. The casing has internal teeth defined between the recesses 8. Also note the tapered flanks 10 of the teeth 7.

# Allowable Subject Matter

Claims 2, 7-9 and 14 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Claim 3 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

#### **Prior Art Citation**

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Yamamori et al. '026, Sugiyama '958 and Okada et al. '948 show spline connections for connecting a clutch plate to a drum. Gerathewohl et al. '740 does not qualify as prior art but is cited of interest.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Richard M. Lorence whose telephone number is (703) 308-3062. The examiner can normally be reached on Mondays through Fridays from 9:00AM to 5:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles A. Marmor can be reached on (703) 308-0830. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Richard M. Lorence Primary Examiner Art Unit 3681

Lorence/rml